

PARTICIPANT PACKET OPTION A

Code of Shared Ethics and Values

Preamble

For government to operate with transparency and accountability it is essential that public officials and employees conduct themselves in ways that uphold the public trust. The Code of Shared Ethics and Values provides guidance and support to public servants for the promotions and maintenance of the highest standards of personal and professional conduct. Because we wish to ensure the public confidence in the integrity of out government entities, it is proposed that all elected and appointed officials, employees, volunteers, and others who participate in government shall personally commit to being trained on the values and standards put forth in this document.

Public Service Values

Honesty/Integrity

- To exercise the moral courage to hold myself and others accountable for our actions
- To work within the law and in a way that will bear close public scrutiny
- To exhibit trustworthiness
- To employ decision making that promotes the public's best interest
- To avoid impropriety and refrain from misusing an official position to secure unwarranted privileges or advantages for myself or others
- To make no private promises of any kind that may unduly influence my public duties
- To refrain from engaging in business that would be directly or indirectly inconsistent with the conscientious performance of public duties
- To accept the responsibility to expose corrupt and/or unethical behavior
- To protect the public trust my exercising honesty and ensuring transparency

Respect/Civility

- To treat every person with dignity and respect
- To accomplish the goals and responsibilities of my individual position while respecting my role as a member of a team and the community at large
- To act in a professional, responsive and courteous manner
- To reach decisions only after considering various points of view
- To work with others in a spirit of tolerance and understanding
- To work to build consensus and accommodate diverse opinions
- To utilize effective communication by listening, asking questions and responding in a way that adds value to the conversation
- To support the public's right to know the truth and encourage diverse and civil public debate in the decision-making process

Accountability/Responsibility

- To refrain from using official positions to secure unwarranted privileges or advantages for myself or others
- To remove myself from every decision-making process in which I, my business, my associates or my family may benefit and upon removing myself form decisions, I will show self-restraint and not voice my opinion on the question
- To conduct my private affairs in a manner that minimizes the risk of real, potential or perceived conflicts of interest
- To make full public disclosure of the nature of any conflict of interest prior to any considered action
- To respect the privacy of others by keeping confidential information that I
 acquire in the course of my professional duties protected unless a legitimate
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- To refrain from competing with the community where I am employed or serve as an appointed or elected official

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- To advocate and promote the most efficient and effective way to deliver public services without prejudice or discrimination
- To publicly acknowledge that the function of government is to serve the best interest of all citizens
- To refrain from granting preferential treatment to family and friends when making staffing decisions or awarding contracts
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- To assess the effects of inadequate resources on diverse groups within the service population and develop plans to remedy and implement such plans
- To behave consistently and with respect toward all citizens

SEGMENTS 2 and 3

MOVIE DISCUSSION GUIDE

Government Employee Training - Reproduced from Advanced Concept Research

GOVERNMENT ETHICS

Objective:

By the end of the program employees will understand clearly the expectations the citizens have of them when it comes to ethics, and that every day they must remain very aware of what is and what is not a potential conflict of interest between their private interests and their obligations to the public.

Overview:

Employees learn they are expected to keep confidential government information confidential, must never show favoritism, must not seek special privileges and must never use government property for personal use.

They learn they must not conduct government business with companies in which they have a financial interest, they must take no outside employment that conflicts with their official duties, and they must not accept gifts from anyone if could be assumed it was intended to influence them in the conduct of their duties.

SEGMENTS 2 and 3

DISCUSSION BEFORE VIEWING

1. What are your ethical obligations as a government employee?

DISCUSSION AFTER VIEWING

- 1. Why are you expected to keep confidential government information confidential?
- 2. Most of us already know government employees should never show favoritism, but why?
- 3. If you violate the code of ethics, you could be disciplined. What are the forms of discipline an employee can receive?
- 4. The program states you may not personally profit from government business. What does that mean?
- 5. It would be possible to list all the business activities that might conflict with your duties, so if you're thinking of engaging in outside financial interests, what is the first thing you should do?
- 6. When is unethical for you to receive a gift from someone?
- 7. When is it permissible to use government property for your personal use?
- 8. You are going to meet staff members who will become good and loyal friends, and if you see your friend violating the government code of ethics, what are you going to do?

PARTICIPANT WHAT WOULD YOU DO?



For each of the following ethical dilemmas, participants are to identify the course of action they would take, the issues that are involved, and the potential consequences of each option.

DILEMMA #1:

A colleague whom you consider a friend has been taking petty cash. You have just learned about your friend's actions. What would you do?
Tell the appropriate authority what you know. Keep quiet. You do not want your friend to be fired over such a small matter. Other:
DILEMMA #2:
You have worked with a local government supplier for many years and have developed a good relationship with the sales representative. He mentions that he has some season tickets for the local professional basketball games that he cannot use. He suggests that you and your spouse accept them as a gift. What would you do?
Accept the tickets and list the tickets on your financial disclosure form. The sales representative is a friend and is not asking for anything in return. Turn down the offer of the tickets. You believe that the gift might compromise your objectivity or others' perception of your objectivity in your relationship with this and other suppliers. Other:
DILEMMA #3:
Your local government's policy allows for a per diem for meals when employees travel on business. You have decided to stay with old friends rather than at a hotel and plan to eat dinner at their home. As you fill out your travel expense form, you reflect on the per diem. What would you do?
Claim the per diem. After all, on some trips the per diem has not covered all of your expenses. Claim only those expenses you incurred. Other:



DILEMMA #4:	
The governing body has just approved a staff recommendation to refurbish the community's parks with the latest playground equipment. The manufacturer of this equipment has offered to pay for your trip to nearby resort town to see the layout of the equipment in use.	1
Accept the offer. The governing body has already approved the purchase, so have the company pay for your trip is merely part of a public-private partnership that will state the taxpayers money.	_
Insist on having the local government pay for the trip. You want to avoid any appearance of influence.	
Other:	
DILEMMA #5:	
an employee whom you supervise is having personal problems that are affecting her work. The employee is frequently late and has failed to meet several important deadlines. What would you do?	he
Document the problems carefully, tell the employee that her performance is unacceptable, and draft a performance improvement plan. Hold the employee account for any lapses.	table
Look the other way. Since the problems are due to personal issues, performance will improve once the employee resolves these issues. You sympathize with the employee and want to avoid adding to her problems.	<u>;</u>
Other:	
DILEMMA #6:	
You were recently promoted to a job as a building inspector. You have just learned that some the inspections that your predecessor claimed to have completed were never undertaked. What would you do?	
 Keep the problem to yourself. Your job is to do the inspections that are schedule now, not to check up on someone else's work. There is no way to hold the building official responsible, so there is no reason to risk word getting out to the media. Inform your supervisor or the department head, even if it means that you have to 	
"reinspect" the buildings. Other:	
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Other:

DILEMMA #7:
The government recently purchased plants for several parks and has several left over after planting them. One of your best employees has approached you to ask if he can take the plants home. What would you do?
Let the employee take the plants. Since they are going to be thrown away otherwise, you consider the plants a reward for good work. Explain the employee that letting him take the plants could appear to be inappropriate. The press might make a big deal out of this type of thing. Other:
DILEMMA #8:
Your spouse works for a small company that is building a new office. The company is considering hiring a consultant to help with the permitting process and the paperwork that is required. A colleague in the planning department has talked about getting some consulting work on the side and suggested that he might be a good candidate. He is not directly involved in the plan review or permitting process, but he has learned enough about these processes to be of great help to your spouse's company. He has asked you to talk to your spouse; making this connection could be a real boost for your spouse's career. What would you do?
Give him your spouse's phone number, and let them work it out. After all, it doesn't really involve you Suggest to your colleague that such action represents a conflict of interest. Tell him that he should not be accepting jobs as a consultant when he still has a job with the city Other:
DILEMBAA 40.
DILEMMA #9:
A prominent developer is about to put up a new housing development. You and your spouse would love to buy one of these premier homes, but you have just sold your house and need to move somewhere quickly—sooner than the homes will be ready. There is a waiting list on rental units in a nearby development. You know the developer well from past experience in the planning department; when you casually mention your dilemma, he offers to rent you a unit temporarily at a reduced price while he builds your home.
Turn down the offer. Although there are no legal barriers to this offer, it could be perceived by the public or press as unethical. Accept the unit. You have no influence on zoning or permit decisions, and you don't expect any issues involving the developer to come before the council in the near future.



DILEMMA #10:

You ar	e on a self-directed work team that has been in place for three months. The team has no "official" leader, but you have emerged as a leader of sorts, partly because you have more experience than some of the other team members. Lately, you have noticed that one of the members of the team has been absent quite a bit, and the team is struggling to figure out how to catch up on the work that has lapsed as a result. One of the other members of the team confided in you yesterday that this employee has been taking "mental health days" to use up some of her sick leave. Your colleague asked you to keep the conversation confidential. What should you do?
	Nothing. You are not in charge, and saying something would betray your colleague's confidenceBring up the employee's continued absence at your next team meeting. The employee is misusing sick leave, and the team is suffering as a result. You should decide as a team what to doOther:

DILEMMA #11:

You have been ir	nterviewing candidates for several summer jobs. The application deadline was
this morn	, and you expect to finish your interviews by next Friday. The mayor called you ing to tell you that her niece's completed application was on the way and to ask ueeze her into the interview schedule. What would you do?
	the mayor that the deadline for applications has already passed, so it would be interview any additional candidates.
	edule a meeting with the mayor's niece, but hold her to the same standards as al candidates. The important thing is to hire the best people for the job.
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DILEMMA #12:

One of your employees has just received a promotion that will require him to spend significant time out in the field. The government has strict rules about the use of cell phones: They are to be used sparingly to call supervisors and colleagues only. You have become aware that this employee is using the phone to check in with his son, who is alone in the afternoons after school. What would you do?
Remind the employee of the policy regarding cell phone use and demand that he stop using it for any other purpose. Ignore the calls. You respect the employee's commitment to his family, and a short call every afternoon has no impact on his ability to get the job done.
Other:
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DILEMMA #13:
You are a supervisor in the parks department. During a recent storm, one of your employees experienced significant property damage. More than a dozen trees were knocked over, and one tree hangs precipitously over his house. Several of the employees on your work team are planning to work this weekend to help the employee cut up and haul away the damaged trees. The employees have asked you if they can borrow a chainsaw from the department to use over the weekend. What would you do?
Let them use the chainsaw, but remind them that it needs to be returned first thing
Monday morning.
Decline their request. The chainsaw is government property.
Other:



DILEMMA #14:

Because your job requires you to work on utilities projects all over the city, you have the use of a marked city car, which you park at your home overnight. Your son's preschool is next to the public works office where your workday always begins. He is small enough to need a car seat, which you have secured in the back seat of this vehicle. This short time together is enjoyable to both you and your son, and you make sure to leave plenty of time to drop him off, so that you are never late for work. One morning you suddenly realize that you were supposed to bring breakfast treats to the preschool today. If you hurry, you can load up your son, stop by Target on the way, and still get him and yourself where you need to be. What should you do? You should not be driving your son around in a city car in the first place. The morning trip to preschool is okay because it does not involve any further driving and thus does not cost anyone anything. However, you should not make that detour to Target. The well-being of the community's children—yours and the others at the preschool—is something this city prides itself on and seeks to encourage in every way. Within limits—for instance, if Target is not too far out of the way—it is fine to use the car in all these ways.

DILEMMA #15:

Your city has a presence on Facebook, Twitter, Instagram and other social media outlets. Your job is in the parks department and you have no interaction at work or outside work with the people responsible for the city's work in social media. However, you enjoy spending personal time outside of work checking out social media and you sometimes check the city's pages. One day you notice that a number of negative citizen comments have been posted about "the kind of kids" who hang out in one of the city parks. You sense racial overtones in these comments and angrily post a comment charging these citizens with racism. The next morning you tell your supervisor what you have seen and written online. Have you acted ethically?

_____ No. Because you work for the parks department, you should not post comments related to parks department business, even on your own time.

_____ Yes. You spoke out against racism, expressing a strongly held value of your city government, and you were honest with your supervisor about what you had done.

_____ Other:

Course and Instructor Evaluation

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Was the content suited to your requirements?		
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Was the standard of the equipment satisfactory?			
Were the standard of the training rooms as you expected?			
Were you satisfied with the refreshment facilities?			

Summary Comments
What, if anything, would you have improved on the course?
What did you find to be the most helpful in this course?
Is there anything else you would like to know?
Are you interested in becoming a certified ethics trainer? Please Circle: Yes No
If yes, please provide your contact information (address, email, phone number) below:

ık you for participating in this ethics discussion.



PARTICIPANT PACKET OPTION B

Code of Shared Ethics and Values

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Objective:

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Course and Instructor Evaluation

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We welcome your comments a	bout the time ye	ou have spent tra	ining with us. Pl	ease complete the	following
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Would you recommend this course to others?					
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If yes, please provide your contact information (address, email, phone number) below:

nk you for participating in this ethics discussion.



PARTICIPANT PACKET OPTION D

Code of Shared Ethics and Values

Preamble

For government to operate with transparency and accountability it is essential that public officials and employees conduct themselves in ways that uphold the public trust. The Code of Shared Ethics and Values provides guidance and support to public servants for the promotions and maintenance of the highest standards of personal and professional conduct. Because we wish to ensure the public confidence in the integrity of out government entities, it is proposed that all elected and appointed officials, employees, volunteers, and others who participate in government shall personally commit to being trained on the values and standards put forth in this document.

Public Service Values

Honesty/Integrity

- To exercise the moral courage to hold myself and others accountable for our
- To work within the law and in a way that will bear close public scrutiny
- To exhibit trustworthiness
- To employ decision making that promotes the public's best interest
- To avoid impropriety and refrain from misusing an official position to secure unwarranted privileges or advantages for myself or others
- To make no private promises of any kind that may unduly influence my public duties
- To refrain from engaging in business that would be directly or indirectly inconsistent with the conscientious performance of public duties
- To accept the responsibility to expose corrupt and/or unethical behavior
- To protect the public trust my exercising honesty and ensuring transparency

Respect/Civility

- To treat every person with dignity and respect
- To accomplish the goals and responsibilities of my individual position while respecting my role as a member of a team and the community at large
- To act in a professional, responsive and courteous manner
- To reach decisions only after considering various points of view
- To work with others in a spirit of tolerance and understanding
- To work to build consensus and accommodate diverse opinions
- To utilize effective communication by listening, asking questions and responding in a way that adds value to the conversation
- To support the public's right to know the truth and encourage diverse and civil public debate in the decision-making process

Accountability/Responsibility

- To refrain from using official positions to secure unwarranted privileges or advantages for myself or others
- To remove myself from every decision-making process in which I, my business, my associates or my family may benefit and upon removing myself form decisions, I will show self-restraint and not voice my opinion on the question
- To conduct my private affairs in a manner that minimizes the risk of real, potential or perceived conflicts of interest
- To make full public disclosure of the nature of any conflict of interest prior to any considered action
- To respect the privacy of others by keeping confidential information that I acquire in the course of my professional duties protected unless a legitimate reason to disclose exists
- To refrain form taking advantage of information received in the course of my professional duties that is not available to the public
- To refrain from directly or indirectly using or allowing the use of government property for anything other than official activities
- To refrain from soliciting or accepting gifts or gratuities that may have a real or perceived influence on my objectivity in carrying out official responsibilities or placing me under obligation to the donor
- To refrain from competing with the community where I am employed or serve as an appointed or elected official

Fairness/Justice

- To advocate and promote the most efficient and effective way to deliver public services without prejudice or discrimination
- To publicly acknowledge that the function of government is to serve the best interest of all citizens
- To refrain from granting preferential treatment to family and friends when making staffing decisions or awarding contracts
- To refrain from retaliation or condoning retaliation against those who have exposed corrupt of unethical behaviors
- To assess the effects of inadequate resources on diverse groups within the service population and develop plans to remedy and implement such plans
- To behave consistently and with respect toward all citizens

PARTICIPANT WHAT WOULD YOU DO?



For each of the following ethical dilemmas, participants are to identify the course of action they would take, the issues that are involved, and the potential consequences of each option.

DILEMMA #1:

	ague whom you consider a friend has been taking petty cash. You have just learned about your friend's actions. What would you do?
	Tell the appropriate authority what you know. Keep quiet. You do not want your friend to be fired over such a small matter. Other:
DILE	MMA #2:
	we worked with a local government supplier for many years and have developed a good relationship with the sales representative. He mentions that he has some season tickets for the local professional basketball games that he cannot use. He suggests that you and your spouse accept them as a gift. What would you do?
	Accept the tickets and list the tickets on your financial disclosure form. The sales representative is a friend and is not asking for anything in return. Turn down the offer of the tickets. You believe that the gift might compromise your objectivity or others' perception of your objectivity in your relationship with this and other suppliers. Other:
DILE	MMA #3:
	bocal government's policy allows for a per diem for meals when employees travel on business. You have decided to stay with old friends rather than at a hotel and plan to eat dinner at their home. As you fill out your travel expense form, you reflect on the per diem. What would you do?
	Claim the per diem. After all, on some trips the per diem has not covered all of your expenses. Claim only those expenses you incurred. Other:



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DILEMM	1A #4:
par	hing body has just approved a staff recommendation to refurbish the community's ks with the latest playground equipment. The manufacturer of this equipment has ered to pay for your trip to nearby resort town to see the layout of the equipment in .
the	Accept the offer. The governing body has already approved the purchase, so having company pay for your trip is merely part of a public-private partnership that will save taxpayers money. Insist on having the local government pay for the trip. You want to avoid any earance of influence. Other:
-	_ Other.
DILEMM	1A #5:
em	ree whom you supervise is having personal problems that are affecting her work. The ployee is frequently late and has failed to meet several important deadlines. What ald you do?
for wil	_ Document the problems carefully, tell the employee that her performance is acceptable, and draft a performance improvement plan. Hold the employee accountable any lapses. _ Look the other way. Since the problems are due to personal issues, performance improve once the employee resolves these issues. You sympathize with the ployee and want to avoid adding to her problems. _ Other:
DILEMM	1A #6:
the	recently promoted to a job as a building inspector. You have just learned that some of inspections that your predecessor claimed to have completed were never undertaken, at would you do?
offi —	_ Keep the problem to yourself. Your job is to do the inspections that are scheduled w, not to check up on someone else's work. There is no way to hold the building icial responsible, so there is no reason to risk word getting out to the media Inform your supervisor or the department head, even if it means that you have to inspect" the buildings. Other:

Other:

DILEMMA #7:
The government recently purchased plants for several parks and has several left over after planting them. One of your best employees has approached you to ask if he can take the plants home. What would you do?
Let the employee take the plants. Since they are going to be thrown away otherwise, you consider the plants a reward for good work. Explain the employee that letting him take the plants could appear to be inappropriate. The press might make a big deal out of this type of thing. Other:
DILEMMA #8:
Your spouse works for a small company that is building a new office. The company is considering hiring a consultant to help with the permitting process and the paperwork that is required. A colleague in the planning department has talked about getting some consulting work on the side and suggested that he might be a good candidate. He is not directly involved in the plan review or permitting process, but he has learned enough about these processes to be of great help to your spouse's company. He has asked you to talk to your spouse; making this connection could be a real boost for your spouse's career. What would you do?
Give him your spouse's phone number, and let them work it out. After all, it doesn't really involve you. Suggest to your colleague that such action represents a conflict of interest. Tell him that he should not be accepting jobs as a consultant when he still has a job with the city. Other:
DILEMMA #9:
A prominent developer is about to put up a new housing development. You and your spouse would love to buy one of these premier homes, but you have just sold your house and need to move somewhere quickly—sooner than the homes will be ready. There is a waiting list on rental units in a nearby development. You know the developer well from past experience in the planning department; when you casually mention your dilemma, he offers to rent you a unit temporarily at a reduced price while he builds your home.
Turn down the offer. Although there are no legal barriers to this offer, it could be perceived by the public or press as unethical. Accept the unit. You have no influence on zoning or permit decisions, and you don't expect any issues involving the developer to come before the council in the near future.



DILEMMA #10:

You are on a self-directed work team that has been in place for three months. The team has no "official" leader, but you have emerged as a leader of sorts, partly because you have more experience than some of the other team members. Lately, you have noticed that one of the members of the team has been absent quite a bit, and the team is struggling to figure out how to catch up on the work that has lapsed as a result. One of the other members of the team confided in you yesterday that this employee has been taking "mental health days" to use up some of her sick leave. Your colleague asked you to keep the conversation confidential. What should you do?

_____ Nothing. You are not in charge, and saying something would betray your colleague's confidence.

_____ Bring up the employee's continued absence at your next team meeting. The employee is misusing sick leave, and the team is suffering as a result. You should decide as a team what to do.

_____ Other:

DILEMMA #11:

You have been interviewing candidates for several summer jobs. The application deadline was last week, and you expect to finish your interviews by next Friday. The mayor called you this morning to tell you that her niece's completed application was on the way and to ask you to squeeze her into the interview schedule. What would you do?

_____Tell the mayor that the deadline for applications has already passed, so it would be unfair to interview any additional candidates.

_____ Schedule a meeting with the mayor's niece, but hold her to the same standards as all the other candidates. The important thing is to hire the best people for the job.

_____ Other:



DILEMMA #12:

t a t	your employees has just received a promotion that will require him to spend significant time out in the field. The government has strict rules about the use of cell phones: They are to be used sparingly to call supervisors and colleagues only. You have become aware that this employee is using the phone to check in with his son, who is alone in the afternoons after school. What would you do?
	Remind the employee of the policy regarding cell phone use and demand that he stop using it for any other purpose. Ignore the calls. You respect the employee's commitment to his family, and a short call every afternoon has no impact on his ability to get the job done. Other:
	омог лма #13:
You are	a supervisor in the parks department. During a recent storm, one of your employees experienced significant property damage. More than a dozen trees were knocked over, and one tree hangs precipitously over his house. Several of the employees on your work team are planning to work this weekend to help the employee cut up and haul away the damaged trees. The employees have asked you if they can borrow a chainsaw from the department to use over the weekend. What would you do?
1	Let them use the chainsaw, but remind them that it needs to be returned first thing Monday morning.
	Decline their request. The chainsaw is government property Other:



DILEMMA #14:

Because your job requires you to work on utilities projects all over the city, you have the use of a marked city car, which you park at your home overnight. Your son's preschool is next to the public works office where your workday always begins. He is small enough to need a car seat, which you have secured in the back seat of this vehicle. This short time together is enjoyable to both you and your son, and you make sure to leave plenty of time to drop him off, so that you are never late for work. One morning you suddenly realize that you were supposed to bring breakfast treats to the preschool today. If you hurry, you can load up your son, stop by Target on the way, and still get him and yourself where you need to be. What should you do? You should not be driving your son around in a city car in the first place. The morning trip to preschool is okay because it does not involve any further driving and thus does not cost anyone anything. However, you should not make that detour to Target. The well-being of the community's children—yours and the others at the preschool—is something this city prides itself on and seeks to encourage in every way. Within limits—for instance, if Target is not too far out of the way—it is fine to use the car in all these ways.

DILEMMA #15:

Your city has a presence on Facebook, Twitter, Instagram and other social media outlets. Your job is in the parks department and you have no interaction at work or outside work with the people responsible for the city's work in social media. However, you enjoy spending personal time outside of work checking out social media and you sometimes check the city's pages. One day you notice that a number of negative citizen comments have been posted about "the kind of kids" who hang out in one of the city parks. You sense racial overtones in these comments and angrily post a comment charging these citizens with racism. The next morning you tell your supervisor what you have seen and written online. Have you acted ethically?

_____ No. Because you work for the parks department, you should not post comments related to parks department business, even on your own time.

_____ Yes. You spoke out against racism, expressing a strongly held value of your city government, and you were honest with your supervisor about what you had done.

_____ Other:

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Summary Comments		
What, if anything, would you have improved on the course?		
83	20 20 20 100	
What did you find to be the most helpful in this course?		
Is there anything else you would like to know?		
Are you interested in becoming a certified ethics trainer? Please Circle:	Yes	No
If yes, please provide your contact information (address, email, phone numb	per) bel	ow:

ak you for participating in this ethics discussion.